IAP7 RECOLUTION 3 9 MAR 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER M02B127 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 10/543,025 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB04/00352 January 29, 2004 January 30, 2003 TITLE OF INVENTION Nasal Cannula APPLICANT(S) FOR DO/EO/US Michel E. GARRETT, Geoffrey M. LLOYD, Thomas RATHBONE Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. l An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. (supplemental) A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/543,025			INTERNATIONAL APPLICATION NO. PCT/GB04/00352		ATTORNEY'S DOCKET NUMBER M02B127	
(Express Mai Response to		030265103US) Missing Require	ements (1 page, in dup	olicate), Copy of N	lotification of Missin	g Requirement
The foll	lowing fees have b	een submitted	<u> </u>		CALCULATIONS	PTO USE ONL
	· ·			\$300	\$ 0.00	1
	nination fee (37 Cl				0.00	<del></del>
If the written opin	nion prepared by IS /US indicates all cl	\$ 0.00				
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400  All other situations\$500					\$ 0.00	
	TOTAL OF 21, 22	2 and 23 =	· · · · · · · · · · · · · · · · · · ·		\$0.00	
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100 -	(50 -	thereof (round u	thereof (round up to a whole number)		0.00	
- 100 =   /50 =   x \$250  Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$ 130.00	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		- 20 =		x \$ 50	\$ 0.00	
Independent claims		- 3 =		× \$200	\$ 0.00	
MULTIPLE DEP	ENDENT CLAIM(S	\$ 0.00				
-		\$ 130.00				
Applicant cla	aims small entity st	atus. See 37 CFR	1.27. Fees above are reduc	ced by 1/2.		
			7.15	SUBTOTAL =	\$ 130.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00	
	·	·	TOTAL	NATIONAL FEE =	\$ 130.00	
		ignment (37 CFR 1 CFR 3.28, 3.31). \$4	.21(h)). The assignment mu		\$ 0.00	
/2006 LLANDGRA	00000042 02286	5 10543025	TOTAL F	EES ENCLOSED =	\$ 130.00	
	30.00 DA				Amount to be refunded:	\$
					Amount to be charged	\$
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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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а. 🔲	A check in the amount of \$ to cover the above fees	is enclosed.						
b. 🔽	Please charge my Deposit Account No. <u>02-2865</u> in the amount of \$ <u>130.0</u> A duplicate copy of this sheet is enclosed.	to cover the above fees.						
c. 🔽	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2865 . A duplicate copy of this sheet is enclosed.							
d. 🗌	Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form ma be included on this form. Provide credit card information and authorization on l	y become public. Credit card information should not PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
	ALL CORRESPONDENCE TO:	MHC- El						
Intelle	ip H. Von Neida Ilectual Property Dept.	Philip H. Von Neida						
		NAME						
	Mountain Avenue	34,942						
Murra	ray Hill, NJ 07974	REGISTRATION NUMBER						

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label: EQ030265103US

In re Application of Michael E. GARRETT, Geoffrey M. LLOYD, Thomas RATHBONE

International Appln. No.: PCT/GB04/000352 International Filing Date: January 29, 2004

U.S. Application No.: 10/543,025

Title: "Nasal Cannula" Attorney Docket: M02B127

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (copy enclosed) mailed February 22, 2006, Applicants submit the enclosed executed Declaration forms, Power of Attorney forms, and Supplemental Application Data Sheet. The Commissioner is hereby authorized to charge to Deposit Account No. 02-2865 the \$130.00 fee required under 37 CFR 1.16(e).

The Commissioner is requested to grant any necessary extension of time and is authorized to charge any additional fee, if required, to Deposit Account No. 02-2865. This request is submitted in duplicate.

Respectfully submitted,

The BOC Group, Inc. 575 Mountain Avenue Murray Hill, NJ 07974 Phone 908-771-6385

Fax: 908-771-6159

Philip H. Von Neida Registration No. 34,942 Attorney for Applicants Date: March 30, 2006

Attachments: Transmittal Form (PTO-1390, in duplicate), Executed Declaration (2 pages), Executed Power of Attorney (3 pages), Supplemental Application Data Sheet (3 pages), copy of Notification of Missing Requirements, and Return Receipt Postcard



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE

M02B127

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/543,025 Michael Ernest Garrett

INTERNATIONAL APPLICATION NO.

PCT/GB04/00352

I.A. FILING DATE PRIORITY DATE

01/29/2004

01/30/2003

20411 THE BOC GROUP, INC. 575 MOUNTAIN AVENUE MURRAY HILL, NJ 07974-2064

CONFIRMATION NO. 3961
371 FORMALITIES LETTER

\*OC000000018076602\*

Date Mailed: 02/22/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/21/2005
- Preliminary Amendments filed on 07/21/2005
- Information Disclosure Statements filed on 07/21/2005
  - U.S. Basic National Fees filed on 07/21/2005
  - Priority Documents filed on 07/21/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION.

# WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/543,025	PCT/GB04/00352	M02B127

FORM PCT/DO/EO/905 (371 Formalities Notice)